

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
HS		US-6,549,229	4-15-2003	Kirby et al.	
HS		US-6,904,520	6-7-2005	Rosset et al.	
		US-			
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

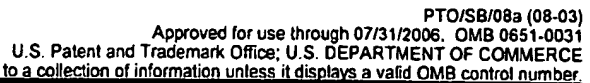
**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HS		LIU, et al. "Motion Vector Encryption in Multimedia Streaming, 2004, IEEE, pages 64-71	

Examiner Signature	<i>Zhsuk Song</i>	Date Considered	1/4/05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of US PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

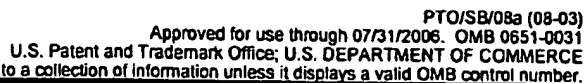
**Complete if Known**

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**Complete if Known**

Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

Sheet	1	of	2
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## U.S. PATENT DOCUMENTS

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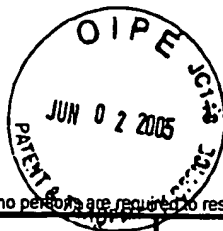
## FOREIGN PATENT DOCUMENTS

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Examiner Signature	HOSUK SONG	Date Considered	1/6/06
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 2

**Complete if Known**

Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

**NON PATENT LITERATURE DOCUMENTS**

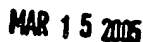
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
HS		ALATTAR, A.M. et al., Improved selective encryption techniques for secure transmission of MPEG video bitstreams, 10/24/1999, Digimarc Corp., Lake Oswego, OR, USA, IEEE, pgs. 256-260	
HS		KUNKELMANN T. et al., A scalable security architecture for multimedia communication standards, Darmstadt Univ. of Technology, ITO, Germany, 1997, pgs. 660-661	
HS		YIP, KUN-WAH, Partial-encryption technique for intellectual property protection of FPGA-Based products, December 15, 1999, IEEE, pgs. 183-190	
HS		International Search Report for application no. PCT/US2004/032228	

Examiner Signature	Hosuk Song	Date Considered	1/4/06
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Application Number	10/037,499
Filing Date	1/2/2002
First Named Inventor	Unger et al.
Art Unit	2131
Examiner Name	Moorthy, Aravind K.
Attorney Docket Number	SNY-R4646.04

Sheet	1	of	1
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## U.S. PATENT DOCUMENTS

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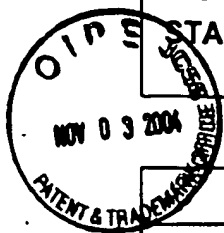
## FOREIGN PATENT DOCUMENTS

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Examiner Signature	He Suk Song	Date Considered	1/4/06
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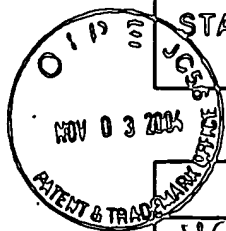


INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 1 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

# U.S. PATENT DOCUMENTS

Exam. Init.	Document Number	Issue/Pub. Date	Name	Class	Filing Date
HS	3,852,519	12/3/1974	Court		10/20/1972
HS	4,381,519	4/26/1983	Wilkinson et al.		9/14/1981
HS	4,419,693	12/6/1983	Wilkinson.		3/30/1981
HS	4,521,853	6/4/1985	Gutttag		6/30/1982
HS	4,634,808	1/6/1987	Moerder		3/15/1984
HS	4,700,387	10/13/1987	Hirata		7/5/1985
HS	4,703,351	10/27/1987	Kondo		8/22/1985
HS	4,703,352	10/27/1987	Kondo		12/17/1985
HS	4,710,811	12/1/1987	Kondo		12/17/1985
HS	4,722,003	1/26/1988	Kondo		11/19/1986
HS	4,739,510	4/19/1988	Jeffers et al.		4/2/1987
HS	4,772,947	9/20/1988	Kondo		12/17/1986
HS	4,785,361	11/15/1988	Brotby		12/16/1986
HS	4,788,589	11/29/1988	Kondo		11/25/1986
HS	4,815,078	3/21/1989	Shimura		3/31/1987
HS	4,845,560	7/4/1989	Kondo et al.		5/18/1988
HS	4,887,296	12/12/1989	Horne		10/16/1987
HS	4,890,161	12/26/1989	Kondo		1/30/1989
HS	4,924,310	5/8/1990	von Brandt		8/22/1989
HS	4,944,006	7/24/1990	Citta et al.		4/25/1989
HS	4,953,023	8/28/1990	Kondo		9/15/1989
HS	4,995,080	2/19/1991	Bestler et al.		7/16/1990
HS	5,018,197	5/21/1991	Jones et al.		7/30/1990
HS	5,023,710	6/11/1991	Kondo et al.		10/24/1990

Examiner: Hosuk Song	Date: 1/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 2 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,122,873	6/16/1992	Golin, Stuart J.		2/19/1991
HS	5,138,659	8/11/1992	Kelkar et al.		5/2/1991
HS	5,142,537	8/25/1992	Kutner et al.		2/2/1990
HS	5,144,662	9/1/1992	Welmer		12/21/1990
HS	5,159,452	10/27/1992	Kinoshita et al.		10/22/1990
HS	5,196,931	3/23/1993	Kondo		12/23/1991
HS	5,208,816	5/4/1993	Seshardi et al.		3/11/1992
HS	5,237,424	8/17/1993	Nishino et al.		7/26/1991
HS	5,241,381	8/31/1993	Kondo		8/16/1991
HS	5,247,575	9/21/1993	Sprague et al.		4/24/1992
HS	5,258,835	11/2/1993	Kato		7/10/1991
HS	5,325,432	6/28/1994	Gardeck et al.		2/4/1993
HS	5,327,502	7/5/1994	Katata		1/16/1992
HS	5,359,694	10/25/1994	Concordel		7/27/1992
HS	5,379,072	1/3/1995	Kondo		12/8/1992
HS	5,398,078	3/14/1995	Masuda et al.		10/30/1992
HS	5,416,651	5/16/1995	Uetake et al.		10/30/1991
HS	5,416,847	5/16/1995	Boze		2/12/1993
HS	5,420,866	5/30/1995	Wasilewski		3/29/1994
HS	5,428,403	6/27/1995	Andrew et al.		9/30/1992
HS	5,434,716	7/18/1995	Sugiyama et al.		2/2/1994
HS	5,438,369	8/1/1995	Citta et al.		8/17/1992
HS	5,469,216	11/21/1995	Takahashi et al.		11/30/1994
HS	5,471,501	11/28/1995	Parr et al.		8/13/1993
HS	5,473,692	12/5/1995	Davis		9/7/1994
HS	5,481,554	1/2/1996	Kondo		8/31/1993
HS	5,481,627	1/2/1996	Kim		8/31/1994

Examiner: Hosuk Song	Date: 1/4/06
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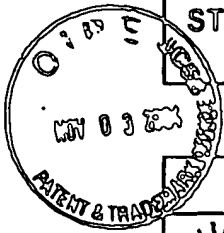
<b>INFORMATION DISCLOSURE STATEMENT</b>	Application No.: 10/037,499	Sheet 3 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	



HS	5,485,577	1/16/1996	Eyer et al.		12/16/1994
HS	5,528,608	6/18/1996	Shimizume		4/7/1995
HS	5,535,276	7/9/1996	Ganesan		11/9/1994
HS	5,539,823	7/23/1996	Martin		7/24/1994
HS	5,539,828	7/23/1996	Davis		5/31/1994
HS	5,555,305	9/10/1996	Robinson et al.		6/13/1994
HS	5,561,713	10/1/1996	Suh		7/18/1994
HS	5,568,552	10/22/1996	Davis		10/22/1996
HS	5,574,787	11/12/1996	Ryan		7/25/1994
HS	5,582,470	12/10/1996	Yu		9/12/1995
HS	5,583,576	12/10/1996	Perlman et al.		9/11/1995
HS	5,598,214	1/28/1997	Kondo et al.		9/28/1994
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HS	5,606,359	2/25/1997	Youden et al.		6/30/1994
HS	5,608,448	3/4/1997	Smoral et al.		4/10/1995
HS	5,615,265	3/25/1997	Coutrot		12/20/1994
HS	5,617,333	4/1/1997	Oyamada et al.		11/23/1994
HS	5,625,715	4/29/1997	Trew et al.		10/21/1993
HS	5,629,981	5/13/1997	Nerlikar		7/29/1994
HS	5,652,795	7/29/1997	Eillon et al.		11/13/1995
HS	5,663,764	9/2/1997	Kondo et al.		7/19/1995
HS	5,666,293	9/9/1997	Metz et al.		7/3/1995
HS	5,703,889	12/30/1997	Shimoda et al.		12/14/1994
HS	5,717,814	2/10/1998	Abecassis		9/16/1994
HS	5,732,346	3/24/1998	Lazaridis et al.		2/16/1996
HS	5,742,680	4/21/1998	Wilson		11/13/1995
HS	5,742,681	4/21/1998	Giachettie et al.		4/4/1995

Examiner: HOSUK SONG	Date: 1/4/06
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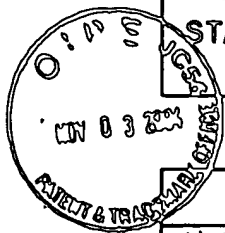




INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 4 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,751,280	5/12/1998	Abbott et al.		12/11/1995
HS	5,751,743	5/12/1998	Takizawa		10/1/1992
HS	5,751,813	5/12/1998	Dorenbos		4/29/1996
HS	5,754,650	5/19/1998	Katznelson		5/3/1995
HS	5,757,417	5/26/1998	Aras et al.		9/23/1997
HS	5,757,909	5/26/1998	Park		11/22/1995
HS	5,768,539	6/16/1998	Metz et al.		12/17/1996
HS	5,796,786	8/18/1998	Lee		10/18/1996
HS	5,796,829	8/18/1998	Newby et al.		6/24/1996
HS	5,796,840	8/18/1998	Davis		10/4/1995
HS	5,802,176	9/1/1998	Audebert		3/22/1996
HS	5,805,700	9/8/1998	Nardone et al.		10/15/1996
HS	5,805,712	9/8/1998	Davis		12/29/1995
HS	5,805,762	9/8/1998	Boyce et al.		4/18/1994
HS	5,809,147	9/15/1998	De Lange et al.		8/14/1997
HS	5,815,146	9/29/1998	Youden et al.		9/16/1996
HS	5,818,934	10/6/1998	Cuccia		12/18/1996
HS	5,825,879	10/20/1998	Davis		9/30/1996
HS	5,850,218	12/15/1998	LaJoie et al.		2/19/1997
HS	5,852,290	12/22/1998	Chaney		1/30/1997
HS	5,852,470	12/22/1998	Kondo et al.		5/28/1996
HS	5,870,474	2/9/1999	Wasiliewski et al.		12/29/1995
HS	5,894,320	4/13/1999	Vancelette		5/29/1996
HS	5,894,516	4/13/1999	Brandenburg		7/10/1996
HS	5,915,018	6/22/1999	Aucsmith		11/5/1996
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HS	5,933,500	8/3/1999	Blatter et al.		11/27/1996

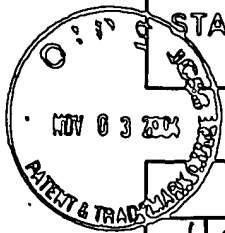
Examiner: Hsolk SORIG	Date: 6/4/06
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INFORMATION DISCLOSURE STATEMENT	Application No.: 10/037,499	Sheet 5 of 11
	Docket No.: SNY-R4646.04	Group: 2131
	Filed: 01/02/2002	Conf. No.: 6277
	Applicant: Candelore et al.	

	5,949,877	9/7/1999	Traw et al.		1/30/1997
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HS	5,999,622	12/7/1999	Yasukawa et al.		11/22/1995
HS	5,999,698	12/7/1999	Nakai et al.		9/30/1977
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HS	6,154,206	11/28/2000	Ludtke		1/14/1999
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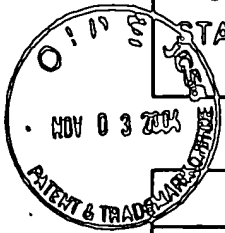
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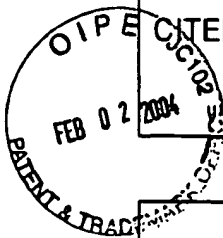


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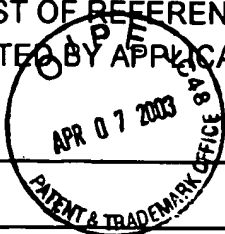
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HS	US6,292,568	9/18/2000	Atkins III, et al.		1/19/2000
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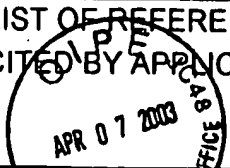


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HS	6,055,314	4/25/2000	Spies et al.		3/22/1996	
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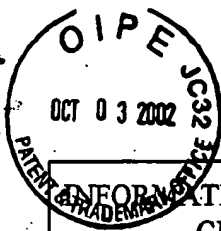
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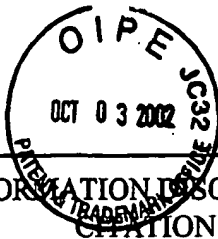
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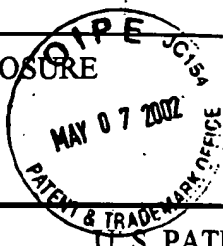


INFORMATION DISCLOSURE CITATION			Docket No.: SNY-R4646.04 Sheet 2 of 2 Serial No. : 10/037,499 Filing Date: 1/2/2002 Applicant: Unger, et al.		
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OTHER DOCUMENTS/CITATIONS					
HS	"Transport Streams Insertion of Video in the Compressed Digital Domain" by SeaChange International, Web Site Literature, 2000				
HS	"A Report on Security Issues in Multimedia" by Gulwani, pages 10-14, April 30, 2000, Course Notes, Department of Computer Science and Engineering, Indian Institute of Technology Kanpur				
HS	"Fast Encryption Methods for Audiovisual Data Confidentiality" by Wu and Kuo, Nov. 2000, SPIE International Symposia on Information Technologies 2000, (Boston, Ma., USA)				
HS	"Visible World - A High Impact Approach to Customized Television Advertising" by Haberman, December 2001.				
HS	"Ad Agencies and Advertisers To Be Empowered with Targeted Ads Delivered by Television's Prevailing Video Servers" Article Business Section of The New York Times, Updated Thursday, December 20, 2001				
HS	"Dynamic-Customized TV Advertising Creation and Production Tools" by SeaChange International, Web Site Literature				
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	Filing Date: 1/2/2002 Group: 2131
	Applicant: Unger, et al.



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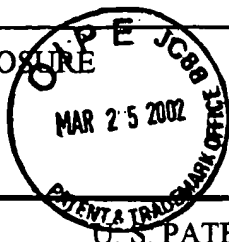
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HS	"DVD Demystified - The Guidebook for DVD-Video and DVD-ROM" by Jim Taylor, Pub. McGraw-Hill, 1998, ISBN: 0-07-064841-7, pages 134-147
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